

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hugues Marchand et al. Examiner: Matthew J. Song  
Serial No.: 09/922,122 Group Art Unit: 1765  
Filed: August 3, 2001 Docket: G&C 30794.79-US-U1  
Title: METHOD OF CONTROLLING STRESS IN GALLIUM NITRIDE FILMS  
DEPOSITED ON SUBSTRATES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 18, 2003.

By:   
Name: Bradley K. Lortz

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(d)

MAIL STOP AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

Pursuant to 37 C.F.R. §1.97(d), please charge the amount of \$180.00 to Deposit Account No. 50-0494 of Gates & Cooper LLP for having the items of information listed considered after the mailing date of a final Office Action on-the-merits. No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the references are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicants

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Date: November 18, 2003

BKL/amb

By: 

Name: Bradley K. Lortz

Reg. No.: 45,472

Date of Fax Submission: November 18, 2003

Sheet 1 of 1

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| Form 1449*<br><b>INFORMATION DISCLOSURE STATEMENT<br/>IN AN APPLICATION</b> | Docket Number: G&C 30794.79-US-U1 | Application Number: 09/922,122 |
|   | Applicant: Hugues Marchand et al. |                                |
|   | Filing Date: August 3, 2001       | Group Art Unit: 1765           |

| U.S. PATENT DOCUMENTS |              |          |            |       |          |                               |
|-----------------------|--------------|----------|------------|-------|----------|-------------------------------|
| EXAMINER<br>INITIAL   | DOCUMENT NO. | DATE     | NAME       | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|                       | 6,649,287    | 11/18/03 | Weeks, Jr. |       |          |                               |
|                       | 6,617,060    | 09/09/03 | Weeks, Jr. |       |          |                               |
|                       |              |          |            |       |          |                               |
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| FOREIGN PATENTS |              |      |         |       |          |             |    |
|-----------------|--------------|------|---------|-------|----------|-------------|----|
|                 | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|                 |              |      |         |       |          | YES         | NO |
|                 |              |      |         |       |          |             |    |
|                 |              |      |         |       |          |             |    |
|                 |              |      |         |       |          |             |    |
|                 |              |      |         |       |          |             |    |
|                 |              |      |         |       |          |             |    |

| OTHER DOCUMENTS (Including Author, Title, Date, Perinent Pages, Etc.) |  |  |
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| EXAMINER:   | DATE CONSIDERED: |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                  |

\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

G&amp;C 30794.79-US-U1